

12-20-07

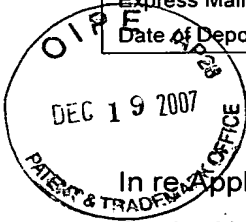
for 2151

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Date of Deposit: December 19, 2007

BRINKS
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GILSON
& LIONE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Shawn S. Cornelius et al.

Appln. No.: 09/943,964

Filed: August 31, 2001

For: REMOTELY MONITORING A DATA
PROCESSING SYSTEM VIA A
COMMUNICATIONS NETWORK

Examiner: Divecha, Kamal B.

Art Unit: 2151

Attorney Docket No: 10022/55

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement, PTO Form 1449, One (1) European Patent Publication, Two (2) Cited Articles; European Search Report, Deposit Account No. 23-1925 Charge of \$180.00.
- ☒ Return Receipt Postcard.

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 CFR § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 CFR § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|--------------|-----------|----|--------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x \$25= | | | x \$50= | |
| Indep. | | Minus | | | x \$105= | | | x \$210= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$185= | | | +\$370= | |
| | | | | | Total | \$ | | Total | \$ |

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☒ Please charge Deposit Account No. 23-1925 in the amount of \$180.00 for the Supplemental Information Disclosure fee. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925. A copy of this Transmittal is enclosed for this purpose.

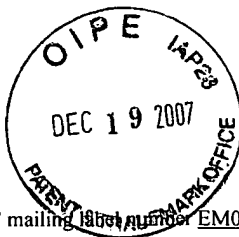
Respectfully submitted,

John C. Freeman, Esq. (Reg. No. 34,483)

December 19, 2007
Date

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& LIONE

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NBC Tower – Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599



"Express Mail" mailing label number EM091192798 US

Date of Deposit: December 19, 2007

Brinks Hofer Gilson & Lione
Patent Case No. 10022/55

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application:)
)
Shawn S. Cornelius et al.)
) Group Art Unit: 2151
Serial No.: 09/943,964)
) Examiner: Divecha, Kamal B.
Filed: August 31, 2001)
)
For: REMOTELY MONITORING A)
DATA PROCESSING SYSTEM)
VIA A COMMUNICATIONS)
NETWORK)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with Applicants' duty of disclosure under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.98, Applicants hereby submit the following references for consideration by the Examiner. In particular the requirements of 37 C.F.R. § 1.97(d) have been satisfied as shown by the attached certification in accordance with 37 C.F.R. § 1.97(e) and check for \$180 to cover the fee set forth in 37 C.F.R. § 1.17(p). A completed copy of Form PTO-1449 is enclosed.

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I. DISCLOSURE

A. U.S. Patents

| <u>Patent No.</u> | <u>Inventor</u> | <u>Issue Date</u> |
|--------------------------|------------------------|--------------------------|
| 5,517,655 | Collins et al. | 05/14/96 |
| 5,627,766 | Beaven | 05/06/97 |
| 6,125,390 | Touboul | 09/26/00 |

B. Foreign Patent References

| <u>Reference No.</u> | <u>Country</u> | <u>Publication Date</u> |
|-----------------------------|-----------------------|--------------------------------|
| EP 0 666 667 A2 | EP | 08/09/95 |

C. Literature

1. Yang, Sheausong et al., "Message Flow Analysis and Run-Time Verification for Parallel Programs," Proceedings of the 1989 International Conference on Parallel Processing held on August 8-12, 1989, Vol. II Software, August 8, 1989, pp. II 19-22.
2. Aerts, A.T.M et al., "Message Flow Control," Information Systems Development for Decentralized Organizations -Proceedings of the IFIP Working Conference on Information Systems Development for Decentralized Organizations, 1995, Published by Chapman & Hall, August 21, 1995, pp. 51-66.

II. DISCUSSION

1. U.S. Patent No. 5,517,655

The '655 patent was cited as an A-type reference in a Search Report for European Patent Application No. 02 70 7610. An A-type reference is not particularly relevant to patentability and provides technological background.

2. U.S. Patent No. 6,125,390

The '390 patent was cited as an X-type reference in a Search Report for European Patent Application No. 02 70 7610. An X-type reference is particularly relevant if taken alone.

3. European Reference No. 0 666 667

The '667 reference was cited as an A-type reference in a Search Report for European Patent Application No. 02 70 7610. It is believed that U.S. Patent No. 5,627,766 corresponds to the '667 reference.

4. Yang et al. Article

The Yang et al. article was cited as an A-type reference in a Search Report for European Patent Application No. 02 70 7610.

5. Aerts et al. Article

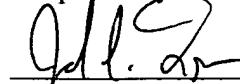
The Aerts et al. article was cited as an A-type reference in a Search Report for European Patent Application No. 02 70 7610.

III. CONCLUSION

It is believed that none of these references, alone or in combination, disclose or suggest the invention claimed. However, Applicants wish to make it clear that the disclosure of the above references is in no way an admission that they qualify as prior art. It is Applicants' desire, however, to have these references available in the record for both the Examiner and the public to

see. Applicants therefore request that the Examiner review the entire disclosure of each reference and make the above-listed references of record.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John C. Freeman", is written over a horizontal line.

John C. Freeman

Registration No. 34,483

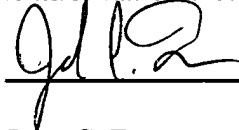
Attorney for Applicants

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Dated: December 19, 2007

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I, John C. Freeman, certify that each item of information contained in the information disclosure statement attached hereto was first cited in a communication from a foreign patent office in a counterpart foreign application of United States Patent Application Serial No. 09/943,964 not more than three months prior to the filing of the information disclosure statement attached hereto.



Date: December 19, 2007

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Attorney for Applicants

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